

# Certified California Sustainable Winegrowing

## Estimated Certification Costs



**Table 1: Auditor Time and Cost Estimates**

This table provides examples of different size organizations and the potential costs associated with auditor time. A winery and vineyard is assumed to take the same amount of time to audit, as found during the certification pilot audits. The table is for informational purposes only and should be viewed as a guide for the potential costs of certification; accredited auditors have different fee structures. See the next page for information about sampling.

Management Unit* <i>Example Organization</i>	Self-Assessments		Auditor time (range in days)		Typical audit fees **		
	Total	Audit Sample	Year 1 (on-site audit)	Years 2 & 3 (review of self-assessment & action plans)	Year 1	Year 2	Year 3
<b>One management unit</b> <i>Example: Single vineyard or winery</i>	1	1	0.5-1.5	0.25	\$500-1,000	\$250	\$250
<b>Two management units</b> <i>Example: Small winery with one vineyard</i>	2	2	1.0-2.0	0.25	\$1,000-\$2,000	\$250	\$250
<b>Four management units</b> <i>Example: Winery with 3 vineyards</i>	4	3	1.5-2.5	0.25	\$1,500-2,500	\$250	\$250
<b>Nine management units</b> <i>Example: 9 vineyards (no winery), same management, similar farming practices</i>	9	3	1.5-2.5	0.5-1.0	\$1,500-2,500	\$500-1,000	\$500-1,000
<b>13 management units</b> <i>Example: Winery plus 12 vineyards. Vineyards under same management. Similar farming practices</i>	13	5	2.5-3.5	1.0-1.5	\$2,500-3,500	\$1,000-1,500	\$1,000-1,500
<b>24 management units</b> <i>Example: 4 wineries plus 20 vineyards. 4 growing regions, each under separate management</i>	24	7	3.5-5.0	1.5-2.5	\$3,500-5,000	\$1,500-2,500	\$1,500-2,500

\* A "management unit" is a vineyard, winery or multiple vineyards or wineries that are under the same management, achieve the same score on all criteria, and are scored using one self-assessment. A self-assessment should cover a winery or vineyard that is considered one "management unit" when looking at the fundamental structure of the way your company does business. As a general rule, multiple vineyards or wineries may be grouped into one self-assessment only if each vineyard or facility is within the same county and achieves the same score on all criteria. Otherwise, the SWP online system has a cloning feature that can facilitate management of multiple self-assessments by allowing the self-assessment scores to be cloned and then only the scores that vary can be easily changed in the new assessment.

\*\* Audit fees do not include auditor expenses (ie. travel costs) or the CSWA administration fees, which include a \$250 enrollment fee in the first year and a \$150 administration fee for ongoing years.



**Sampling Approach**

A sampling approach, based on ISO 14001, will be used to certify multi-site organizations. A sampling unit is the number of self-assessments required to fully represent the entire scope of certification. A management unit is equivalent to a self-assessment. The minimum number of units to be audited is the square root of the total number of self-assessments. Wineries and vineyards should be sampled separately. See Table 2 below for sampling recommendations in years 2 and 3.

**Table 2: Sampling Recommendations**

Number of Management Units	Audit Sample Year 1	Audit Sample Years 2 and 3
1-2	All	0
3	2	0
4	2	1
5-9	3	1
10-16	4	2
17-25	5	2
26-36	6	3
37-49	7	3